

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

17272

In Re Application Of: Tsutomo Okada

Serial No.

10/718,189

Filing Date

November 20, 2003

Examiner

Unassigned

Group Art Unit

Unassigned

Title: **DIATHERMIC CUTTER**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Serial No.
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DIATHERMIC CUTTER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____)

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Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on **June 7, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Thomas Spinelli

Typed or Printed Name

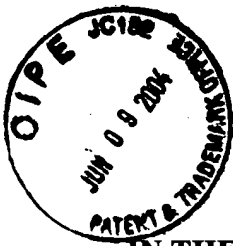
*This certificate may only be used if paying by deposit account.

Signature

Dated: **June 7, 2004**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Tsutomo Okada	Examiner:	Unassigned
Serial No.:	10/718,189	Group Art Unit:	Unassigned
Filed:	November 20, 2003	Docket:	17272
For:	DIATHERMIC CUTTER	Dated:	June 7, 2004
Conf. No.:	9810		

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Application KOKAI Publication No. 4-329944, dated November 18, 1992;
2. Japanese Patent Application No. 8-299355, dated November 19, 1996, together with English translation;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 7, 2004.

Dated: June 7, 2004

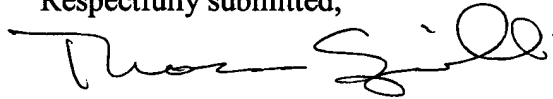

Thomas Spinelli

3. T. Oyama et al., "Endoscopic Mucosal Resection Using a Hooking Knife (Hooking EMR)", Stomach and Intestine, (Tokyo), Vol. 37, No. 9, 2002, pp. 1155-1161, together with English translation; and
4. H. Inoue et al., "Endoscopic mucosal resection with a cap-fitted panendoscope for esophagus, stomach, and colon mucosal lesions", Gastrointest. Endosc. 39: 58-62 1993 Vol. 14, No. 9, together with English translation.

Applicant is submitting copies of the above-cited references. The relevance of Reference No. 1 has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

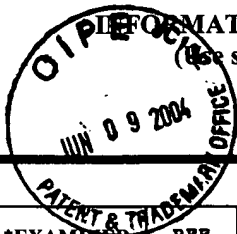
Respectfully submitted,



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 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional) 17272		Application Number 10/718,189	
				Applicant(s) Tsutomo Okada			
				Filing Date November 20, 2003		Group Art Unit Unassigned	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	✓	4-329944	11/18/1992	Japan			
	✓	8-299355	11/19/1996	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	✓	T. Oyama et al., "Endoscopic Mucosal Resection Using a Hooking Knife (Hooking EMR)", Stomach and Intestine, (Tokyo), Vol. 37, No. 9, 2002, pp. 1155-1161, together with English translation					
		H. Inoue et al., "Endoscopic mucosal resection with a cap-fitted panendoscope for esophagus, stomach, and colon mucosal lesions", Gastrointest. Endosc. 39: 58-62 1993 Vol. 14, No. 9, together with English translation					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							